



C. R. KOTHARI & SONS STOCK BROKING PRIVATE LIMITED

CIN: U67120RJ2004PTC083122

Member: National Stock Exchange of India Ltd. SEBI Regn. No. INZ000279336 NSE Code 12867

Regd. Off.: Kothari House, A/495-A, Panchsheel Nagar, A Block, Makarwall Road, Ajmer-305006

Corp. Off.: 2nd Floor, Citi Mall, New Link Road, Andheri (West), Mumbai-400053

Branch Office : 6th Floor, Manubhai Tower, C Wing, Sayaji Gunj, Vadodra 390020

M No +91 9920944955 crkmumbai@crksons.co.in www.crksons.co.in

Certified true copy of the resolution passed at the Meeting of Board of Directors of M/s C. R. Kothari & Sons Stock Broking Private Limited held at its corporate office of the company on 1st April, 2025 at 11.00 A.M.

RESOLVED THAT, the following draft modified/reviewed policies of the Company as required by SEBI, Exchanges and Other applicable laws and as recommended by the Compliance Officer placed before the Board, be and is hereby approved.

Policy on KYC Standards & Anti-Money Laundering Measures
Corporate Social Responsibility Policy
Risk Management system & Other Policy
Surveillance Policy
Policy on Client Code Modification
Policy on Internal Control
Policy on Risk Management
Policy on Pre-Funded Instruments Acceptance
Policy on Conflicts of Interest
Policy for Prevention of Circulation of Unauthenticated Information
Policy for Margin Reporting Calculation
Policy for Signature on Contract Note
Policy for Treatment of Inactive Client
Policy for outsourcing Activates
Policy for NISM Series
Policy for Handling Good Till Date Order
Policy for Cyber Security and Cyber Resilience

RESOLVED FURTHER THAT Mr. Naresh Parab, Compliance Officer of the Company be and is hereby authorized to implement the same in the Company and take all necessary steps in this regard.

RESOLVED FURTHER THAT Mr. Pradeep Kothari, Managing Director and Mr. Naresh Parab, Compliance Officer of the Company be and are hereby authorized to review/modified the said Policies as deem fit and suggest the changes and/or improvements therein, if any, required from time to time.

Certified True Copy

For: M/s C. R. Kothari & Sons Stock Broking Private Limited

Pradeep Kothari

Director

DIN 00176827

Dated: 1st April, 2025

Place: Mumbai

Alok Kothari

Director

DIN 00177008

